# PTAM | IE000X5OD4M3

## Performance Trust Total Return Bond UCITS ETF

## Reasons to Invest

### Rate agnostic

Wall Street has consistently shown it cannot accurately predict interest rates. With this in mind, the ETF uses its Shape Management methodology to target long-term performance and consistent growth across both rising and falling rate environments.

### Interest rate defence

Many European investors use investment grade government and corporate bonds for their fixed income exposure. We believe these underperform when rates rise. The ETF aims to provide investors with access to sectors we believe will perform well in rising rate environments, alongside sectors that should perform well in a rates down environment

### Diversification

PT Asset Management's investment process, Shape Management®, helps to curate a portfolio that looks very different from its peers, and provides exposure to many niche fixed income sectors.

## Product Objectives

Performance Trust Total Return Bond UCITS ETF (PTAM) aims to provide exposure to U.S. bonds, while employing the Shape Management® methodology to target long-term performance and consistent growth across both rising and falling rate environments. The ETF is actively managed by PT Asset Management, a senior investment team with deep expertise and a proven track record, to curate a portfolio that looks different to its peers and provides exposure to many niche fixed income sectors.

## Key Risks

*Past performance is not indicative of future performance. When you invest in ETFs your capital is fully at risk and may not get back the amount originally invested. Exchange rates can have a positive or negative effect on returns. The value of equities and equity-related securities can be affected by daily stock and currency market movements.*

## Key Information

| | |
|---|---|
| **Inception Date** | 09.10.2024 |
| **Asset Class** | Fixed Income |
| **Base Currency** | USD |
| **Number of Holdings** | 47 |
| **TER** | 75 bps |
| **Domicile** | Ireland |
| **Net Assets of Product** | $4,332,755 |
| **Income Treatment** | Accumulating |
| **SFDR Classification** | Article 6 |

## Key Service Providers

| | |
|---|---|
| **Portfolio Manager** | PT Asset Management, LLC |
| **Issuer** | HANetf II ICAV |
| **Custodian** | U.S. Bank Europe DAC trading as U.S Bank Depositary Services Limited |

## Fund Structure

| | |
|---|---|
| **UCITS Eligible** | Yes |
| **ISA Eligible** | Yes |
| **SIPP Eligible** | Yes |
| **UK Fund Reporting Status** | Yes |



info@hanetf.com
www.hanetf.com

## Trading Information

| Exchange | Ticker | RIC | SEDOL | CCY | Listing Date |
|---|---|---|---|---|---|
| LSE | PTAD LN | PTAD.L | BR3Y8B4 | $ | 14.11.2024 |
| LSE | PTAM LN | PTAM.L | BQB7XM4 | £ | 14.11.2024 |
| Xetra | PTAM GY | PTAMG.DE | BQB7YT8 | € | 10.10.2024 |
| Borsa Italiana | PTAM IM | PTAM.MI | BQB7XN5 | € | 21.10.2024 |

## Performance Breakdown

| | PTAM (Fund) |
|---|---|
| **1M** | 0.72% |
| **3M** | 2.92% |
| **6M** | 5.99% |
| **YTD** | 8.06% |
| **1yr** | 5.90% |
| **3yr** | NA |
| **Since Inception** (09.10.2024) | 6.52% |

Source: HANetf, data as of 30.11.2025. Please note that all performance figures are showing net data. Performance before inception is based on back-tested data. Back-testing is the process of evaluating an investment strategy by applying it to historical data to simulate what the performance of such a strategy would have been. Back-tested data does not represent actual performance and should not be interpreted as an indication of actual or future performance. Past performance for the index is in USD. Past performance is not an indicator for future results and should not be the sole factor of consideration when selecting a product. Investors should read the prospectus of the Issuer ("Prospectus") before investing and should refer to the section of the Prospectus entitled "Risk Factors" for further details of risks associated with an investment in this product. If fund is less than 12 months old, YTD field will be calculated since inception. When you invest in ETFs your capital is at risk.

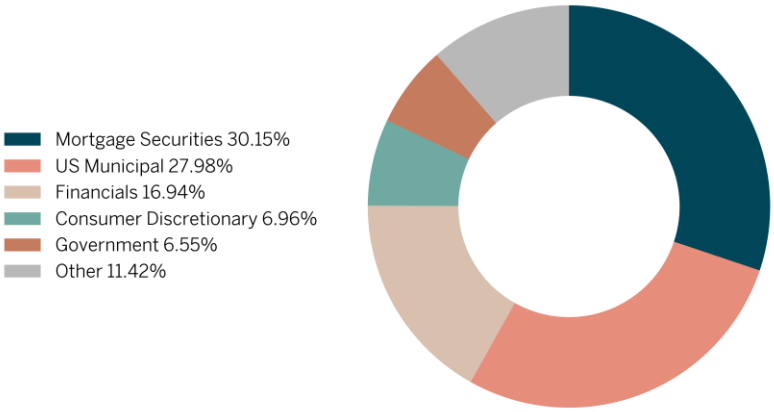


info@hanetf.com
www.hanetf.com

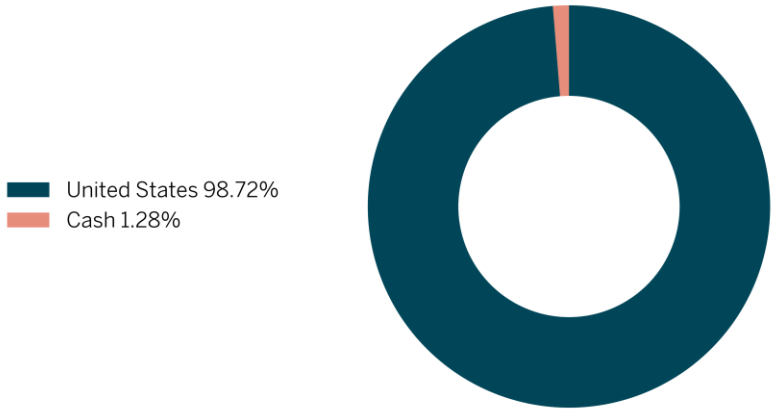
## Holdings Breakdown

| Top 10 Holdings | Weight |
|---|---|
| STRIP PRINC 0.000% 08/15/42 0% 08/15/2042 | 4.11% |
| ALAMEDA JT PWRS-A-TXB 7.046% 12/01/44 SR:BUILD 7.05% 12/01/2044 | 3.99% |
| NJ TRN TR FD BABS 6.561% 12/15/40 SR:BUILD 6.56% 12/15/2040 | 3.89% |
| UNIV WA REV-GEN-BUILD 6.060% 07/01/39 SR:BUILD 6.06% 07/01/2039 | 3.81% |
| SOUTHSTATE CORP FLT 06/13/35 7% 06/13/2035 | 3.64% |
| TRIBORO BRDGE-GEN-B 5.500% 11/15/39 SR:BUILD 5.5% 11/15/2039 | 3.62% |
| SOUTHSIDE BANCSHARES INC FLT 08/15/35 7% 08/15/2035 | 3.55% |
| GLM 2017-1A DR3 FLT 04/20/34 SR:1A Tranche:DR3 0% 04/20/2034 | 3.44% |
| ALLPK 2019-1A DRR FLT 01/20/35 SR:1A Tranche:DRR 0% 01/20/2035 | 3.42% |
| CGCMT 2016-C1 C FLT 05/10/49 SR:C1 Tranche:C 5.11% 05/10/2049 | 3.42% |
| **Sum of Top 10** | **36.89%** |

## Sector Breakdown




## Regional Exposure




## Partner

PT Asset Management (PTAM) is a Chicago-based boutique fixed income asset manager that applies Shape Management, a interest-rate agnostic approach to fixed income security selection. PTAM was founded in 2008 by partners of its affiliate, Performance Trust Capital Partners LLC (PTCP). PTCP provides broker-dealer services for financial institutions, and saw an opportunity to take advantage of the dislocated credit markets in 2008 by leveraging its fixed income expertise and launching a hedge fund. In 2010, PTAM's first mutual fund, the Performance Trust Total Return Bond Fund (PTIAX), was launched. As of June 30, 2024, PTAM manages over $8B across their 3 mutual funds and newly launched ETF

## About HANetf

HANetf is an independent exchange traded product (ETP) provider, working with asset management companies to bring differentiated, modern, and innovative exposures to European investors. Via our white-label platform, we provide a complete operational, regulatory, distribution and marketing solution for asset managers around the globe to launch and manage UCITS ETFs and ETCs.

**IMPORTANT INFORMATION** This factsheet is approved for professional use only.

**Communications issued in the European Economic Area ("EEA")**

The content in this document is issued and approved by HANetf EU Limited ("HANetf EU"). HANetf EU is authorised and regulated by the Central Bank of Ireland. HANetf EU is registered in Ireland with registration number 728832.

**Communications issued in the UK**

The content in this document is issued by HANetf Limited ("HANetf") and approved by Privium Fund Management (UK) Limited ("Privium"). HANetf is an appointed representative of Privium, which is authorised and regulated by the Financial Conduct Authority. The registered office of Privium is The Shard, 24th Floor, 32 London Bridge Street, London, SE1 9SG

This communication has been prepared for professional investors, but the ETCs and ETFs set out in this communication ("Products") may be available in some jurisdictions to any investors. Please check with your broker or intermediary that the relevant Product is available in your jurisdiction and suitable for your investment profile.

Past performance is not a reliable indicator of future performance. The price of the Products may vary and they do not offer a fixed income. This document may contain forward looking statements including statements regarding our belief or current expectations with regards to the performance of certain assets classes. Forward looking statements are subject to certain risks, uncertainties and assumptions. There can be no assurance that such statements will be accurate and actual results could differ materially from those anticipated in such statements. Therefore, readers are cautioned not to place undue reliance on these forward-looking statements. The content of this document is for information purposes and for your internal use only, and does not constitute an investment advice, recommendation, investment research or an offer for sale nor a solicitation of an offer to buy any Product or make any investment.

An investment in an exchange traded product is dependent on the performance of the underlying asset class, less costs, but it is not expected to track that performance exactly. The Products involve numerous risks including among others, general market risks relating to underlying adverse price movements in an Index (for ETFs) or underlying asset class and currency, liquidity, operational, legal and regulatory risks. In addition, in relation to Cryptocurrency ETCs, these are highly volatile digital assets and performance is unpredictable.

The information contained on this document is not, and under no circumstances is to be construed as, an advertisement or any other step in furtherance of a public offering of securities in the United States or any province or territory thereof, where none of the Issuers (as defined below) or their Products are authorised or registered for distribution and where no prospectus of any of the Issuers has been filed with any securities commission or regulatory authority. No document or information on this document should be taken, transmitted or distributed (directly or indirectly) into the United States. None of the Issuers, nor any securities issued by it, have been or will be registered under the United States Securities Act of 1933 or the Investment Company Act of 1940 or qualified under any applicable state securities statutes.

The Issuers:
1. HANetf ICAV and HANetf ICAV II are open-ended Irish collective asset management vehicles and are the issuers of the ETFs under the terms in the relevant Prospectuses and relevant Supplements for each ETF approved by the Central Bank of Ireland ("CBI") (each an "ETF Prospectus" and together the "ETF Prospectuses"). Investors should read the current version of the relevant ETF Prospectus before investing and should refer to the section of the relevant ETF Prospectus entitled 'Risk Factors' for further details of risks associated with an investment in the ETFs. Any decision to invest should be based on the information contained in the ETF Prospectuses.

2. HANetf ETC Securities plc, a public limited company incorporated in Ireland, issuing under the terms in the Base Prospectus approved by the Central Bank of Ireland and the final terms of the relevant series ("ETC Securities Documentation") is the issuer of the precious metals ETCs. Investors should read the latest version of the ETC Securities Documentation before investing and should refer to the section of the Base Prospectus entitled 'Risk Factors' for further details of risks associated with an investment in the ETCs. Any decision to invest should be based on the information contained in the ETC Securities Documentation.

3. Bitwise Europe GmbH, a limited liability company incorporated under the laws of the Federal Republic of Germany, issuing under the terms in the Prospectus approved by the Bundesanstalt für Finanzdienstleistungsaufsicht ("BaFin") and the final terms ("Cryptocurrency Prospectus") is the issuer of the ETCM ETCs. Investors should read the latest version of the Cryptocurrency Prospectus before investing and should refer to the section of the Cryptocurrency Prospectus entitled 'Risk Factors' for further details of risks associated with an investment in the ETCs contained in the Cryptocurrency Prospectus. Any decision to invest should be based on the information contained in the Cryptocurrency Prospectus.

4.HANetf Multi-Asset ETC Issuer plc, a public company incorporated in Jersey, issuing under the terms in the Base Prospectuses approved by the Swedish Financial Supervisory Authority (Sw. Finansinspektionen) (the "SFSA"), the United Kingdom Financial Conduct Authority ("FCA") and the final terms of the relevant series ("Multi-Asset ETC Securities Documentation") is the issuer of ETCs linked to and secured by various underlying assets. Investors should read the latest version of the ETC Securities Documentation before investing and should refer to the section of the relevant Base Prospectus entitled 'Risk Factors' for further details of risks associated with an investment in the ETCs. Any decision to invest should be based on the information contained in the ETC Securities Documentation.

The relevant ETF Prospectuses, ETC Securities Documentation, Multi-Asset ETC Securities Documentation and Cryptocurrency Prospectus can all be downloaded from www.hanetf.com.

The decision and amount to invest in any Product should take into consideration your specific circumstances after seeking independent investment, tax and legal advice. We do not control and are not responsible for the content of third-party websites. We believe the information in this document is based on reliable sources, but its accuracy cannot be guaranteed. The views expressed are the views of HANetf at time of publication and may change. Neither Privium nor HANetf is liable for any losses relating to the accuracy, completeness or use of information in this communication, including any consequential loss.

FOR SWISS INVESTORS ONLY: The Fund has appointed as Swiss Representative Waystone Fund Services (Switzerland) SA, Av. Villamont 17, 1005 Lausanne, Switzerland, Tel: +41 21 311 17 77, email: switzerland@waystone.com. The Fund's Swiss paying agent is Helvetische Bank AG. The Prospectus, the Key Investor Information Documents, the Instrument of Incorporation as well as the annual and semi-annual reports may be obtained free of charge from the Swiss Representative in Lausanne. The issue and redemption prices are published at each issue and redemption on www.fundinfo.com